

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Richard B. Himmelstein  
Divisional of Serial No.: ~~09/434,035~~ <sup>09/92/610</sup> Group Art TBA  
Filed: August 3, 2001 Examiner: TBA  
For: Electronic Bartering System

**PRELIMINARY AMENDMENT**

COMMISSIONER OF PATENTS  
Washington, D.C. 20231

Sir:

Prior to examination of the instant application, please amend the above-referenced application as follows:

**IN THE CLAIMS:**

✓  
Please cancel claims 1-27 without prejudice or disclaimer.

Please add the following claims:

28. A method for facilitating the bartering of publicly traded securities on a computerized system, comprising:
- transmitting a list including multiple barter orders; each of said barter orders including:
- a first security including a quantity thereof to be sold in a barter,
  - a second security including a quantity thereof to be purchased in said barter,
  - a time within which said barter may occur,